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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet 1 of 8

## Complete if Known

Application Number	09/938,672
Filing Date	August 27, 2001
First Named Inventor	John T. Moore
Art Unit	2152
Examiner Name	T. Washington
Attorney Docket Number	M4065.0475/P475

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
LDP	AA	6,388,324	05/14/2002	Kozicki et al.	RECEIVED NOV 06 2002 Technology Center 2100
	AB	US 2002/0000666	01/03/2002	Kozicki et al.	
	AC	5,500,532	03/19/1996	Kozicki et al.	
	AD	6,418,049	07/09/2002	Kozicki et al.	
	AE	5,751,012	05/12/1998	Wolstenholme et al.	
	AF	5,789,277	08/04/1998	Zahorik et al.	
LDP	AG	6,348,365	02/19/2202	Moore et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
LDP	BA	WO 02/21542	03/14/2002	Kozicki et al.		
LDP	BB	WO 00/48196	08/17/2000	Kozicki et al.		
LDP	BC	WO 97/48032	12/18/1997	Kozicki et al.		
LDP	BD	WO 99/28914	06/10/1999	Kozicki et al.		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

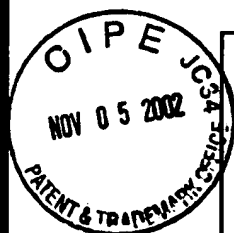
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	09/938,672
			Filing Date	August 27, 2001
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			Group Art Unit	2152
			Examiner Name	T. Washington
			Attorney Docket Number	M4065.0475/P-475
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
MDP	CA	Abdel-All, A.; Elshafie, A.; Elhawary, M.M., DC electric-field effect in bulk and thin-film Ge <sub>5</sub> As <sub>38</sub> Te <sub>57</sub> chalcogenide glass, Vacuum 59 (2000) 845-853.		
	CB	Adler, D.; Moss, S.C., Amorphous memories and bistable switches, J. Vac. Sci. Technol. 9 (1972) 1182-1189.		
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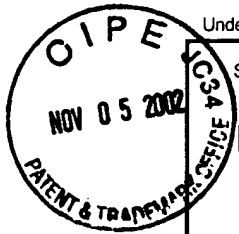
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<b>LD</b>		Glasses, Asian Journal of Physics (2000) 9, 709-72.	
	CX	Boolchand, P.; Bresser, W.J., Mobile silver ions and glass formation in solid electrolytes, Nature 410 (2001) 1070-1073.	
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CG2	Feng, X.; Bresser, W.J.; Boolchand, P., Direct evidence for stiffness threshold in Chalcogenide glasses, Phys. Rev. Lett. 78 (1997) 4422-4425.		
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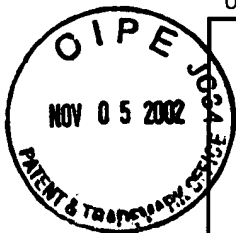
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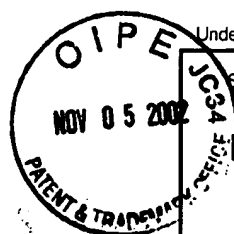
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Sheet	7	of	8	Application Number	09/938,672
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## Complete if Known

Application Number	09/938,672
Filing Date	August 27, 2001
First Named Inventor	John T. Moore
Group Art Unit	2152
Examiner Name	T. Washington
Attorney Docket Number	M4065.0475/P475

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Application Number	09/938,672	RECEIVED
Filing Date	August 27, 2001	
First Named Inventor	John T. Moore	NOV 06 2002
Group Art Unit	2152	Technology Center 2100
Examiner Name	T. Washington	

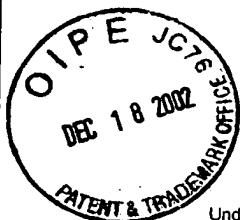
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Examiner Signature	<i>Marcel S. Vignier</i>	Date Considered	4/22/2003
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				Application Number	09/938,672
				Filing Date	August 27, 2001
				First Named Inventor	John Moore
				Art Unit	2152
				Examiner Name	T. Washington
Sheet	1	of	1	Attorney Docket Number	M4065.0475/P475

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U.S. PATENT DOCUMENTS					
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		Number-Kind Code <sup>2</sup> (if known)			
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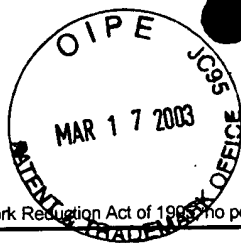
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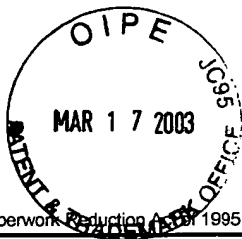
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			Filing Date	February 13, 2003	
			First Named Inventor	John Moore	
			Art Unit	2151	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	4	Attorney Docket Number	M4065.0475/P475-A

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ADP	AV1	2003/0027416 APP	2/6/2003	Moore	
	AW1	2003/0001229 APP	1/2/2003	Moore et al.	
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LSP	BA	JP 56126916	10/1981	Akira et al.		
	BB					

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



#13 IDS

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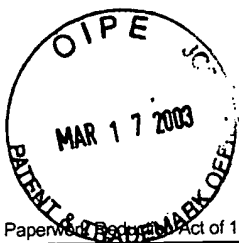
<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	Not Yet Assigned		
		Filing Date	February 13, 2003		
		First Named Inventor	John Moore		
		Group Art Unit	2151		
		Examiner Name	Not Yet Assigned		
Sheet	4	of	4	Attorney Docket Number	M4065.0475/P475-A

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MS	CA	Axon Technologies Corporation, TECHNOLOGY DESCRIPTION: <i>Programmable Metalization Cell(PMC)</i> , pp. 1-6 (Pre-May 2000).	
	CB	Helbert et al., <i>Intralevel hybrid resist process with submicron capability</i> , SPIE Vol. 333 SUBMICRON LITHOGRAPHY, pp. 24-29 (1982).	
	CC	Hilt, DISSERTATION: <i>Materials characterization of Silver Chalcogenide Programmable Metalization Cells</i> , Arizona State University, pp. Title page-114 (UMI Company, May 1999).	
	CD	Hirose et al., <i>High Speed Memory Behavior and Reliability of an Amorphous As<sub>2</sub>S<sub>3</sub> Film Doped Ag</i> , PHYS. STAT. SOL. (a) 61, pp. 87-90 (1980).	
	CE	Holmquist et al., <i>Reaction and Diffusion in Silver-Arsenic Chalcogenide Glass Systems</i> , 62 J. AMER. CERAM. SOC., No. 3-4, pp. 183-188 (March-April 1979).	
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	CH	Kolobov and Elliott, <i>Photodoping of Amorphous Chalcogenides by Metals</i> , Advances in Physics, Vol. 40, No 5, 625-684 (1991).	
	CI	Kozicki, et al., "Applications of Programmable Resistance Changes in Metal-doped Chalcogenides", Proceedings of the 1999 Symposium on Solid State Ionic Devices, Editors - E.D. Wachsman et al., The Electrochemical Society, Inc., 1 - 12 (1999).	
	CJ	Kozicki, et al., <i>Nanoscale effects in devices based on chalcogenide solid solutions</i> , Superlattices and Microstructures, 27, 485-488 (2000).	
	CK	Kozicki, et al., <i>Nanoscale phase separation in Ag-Ge-Se glasses</i> , Microelectronic Engineering, vol. 63/1-3, 155-159 (2002).	
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	CM	McHardy et al., <i>The dissolution of metals in amorphous chalcogenides and the effects o electron and ultraviolet radiation</i> , 20 J. PHYS. C.: SOLID STATE PHYS., pp. 4055-4075 (1987)f	
	CN	Owen et al., <i>Metal-Chalcogenide Photoresists for High Resolution Lithography and Sub-Micron Structures</i> , NANOSTRUCTURE PHYSICS AND FABRICATION, pp. 447-451 (M. Reed ed. 1989).	
MS	CO	Shimizu et al., <i>The Photo-Erasable Memory Switching Effect of Ag Photo-Doped Chalcogenide Glasses</i> , 46 B. CHEM SOC. JAPAN, No. 12, pp. 3662-3365 (1973).	

Examiner Signature	<i>Monica D. Rigaud-Crespo</i>	Date Considered	4/22/2003
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/938,672	
			Filing Date	August 27, 2001	
			First Named Inventor	John Moore	
			Art Unit	2151	
			Examiner Name	T. Washington	
Sheet	1	of	4	Attorney Docket Number	M4065.0475/P475

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
LOP	AA	2000/0072188 App	6/2002	Gilton	
	AB	2002/0123169 App	9/2002	Moore et al.	
	AC	2002/0123248 App.	9/2002	Moore et al.	
	AD	3,622,319	11/1971	Sharp	
	AE	3,743,847	7/1973	Boland	
	AF	4,269,935	5/1981	Masters et al.	
	AG	4,312,938	1/1982	Drexler, et al.	
	AH	4,316,946	1/1982	Masters, et al.	
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	AV1	2003/0027416 APP	2/6/2003	Moore	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	09/938,672
Filing Date	August 27, 2001
First Named Inventor	John Moore
Art Unit	2151
Examiner Name	T. Washington
Attorney Docket Number	M4065.0475/P475

Sheet 2 of 4

AW1	2003/0001229 APP	1/2/2003	Moore et al.	
AX1	2002/0106849 APP	8/8/2002	Moore	
AY1	2002/0127886 APP	9/12/2002	Moore et al.	
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BD1	4,671,618	6/87	Wu et al.	MAR 19 2003
BE1	4,800,526	1/89	Lewis	
BF1	2003/0035314	02/20/03	Kozicki	Technology Center 2100
BG1	2003/0035315	02/20/03	Kozicki	
BH1	6,473,332	04/04/01	Ignatiev et al.	

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
ADP	BA	JP 56126916		10/1981	Akira et al.		
	BB						

Examiner Signature	<i>Marion D. Sigurd-Buef</i>	Date Considered	8/18/2003
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/938,672
		Filing Date	August 27, 2001
		First Named Inventor	John Moore
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Sheet	4	M4	4

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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AMP	CA	Axon Technologies Corporation, TECHNOLOGY DESCRIPTION: <i>Programmable Metalization Cell(PMC)</i> , pp. 1-6 (Pre-May 2000).	
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	CC	Hilt, DISSERTATION: <i>Materials characterization of Silver Chalcogenide Programmable Metalization Cells</i> , Arizona State University, pp. Title page-114 (UMI Company, May 1999).	
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Examiner Signature	<i>Marcel S. Sigurdson-Lopez</i>	Date Considered	8/18/2003
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